

Second and Third Harmonic Generation in CuS/Au/Al Nanohybrids

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Undergraduate Research Project

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The Laser: Introducing Nonlinear Optics



Theodore Maiman: first working laser (1960)

<https://www.lasitlaser.com/laser-marking-history/>

- Incredibly coherent and intense beams of monochromatic light
- New phenomena unexplained by conventional optics

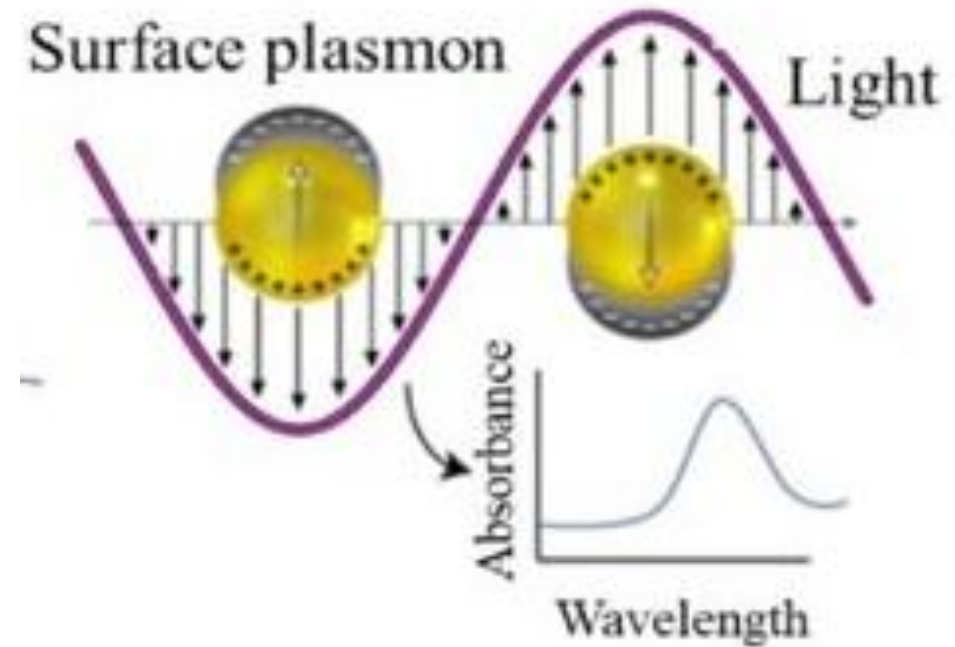


Frank et al. (1961): first observation of the **second harmonic** (arrow)

$$\vec{P} = \epsilon_0 \chi^{(1)} \vec{E} + \epsilon_0 \chi^{(2)} \vec{E}^2 + \epsilon_0 \chi^{(3)} \vec{E}^3 + \dots$$

Why Metallic Nanoparticles?

- Surface plasmon polaritons
- Dipole-dipole interactions
 - Large local electric fields
 - Enhanced harmonic generation signal
- Applications:
 - Photothermal cancer therapy
 - Surface-enhanced Raman spectroscopy



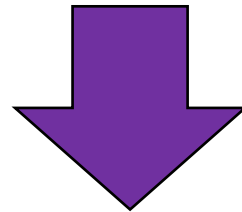
Plasmon resonance

Source: Masson, 2020

Maxwell → Nonlinear Coupled Wave Equation

$$\begin{aligned}\nabla \cdot \vec{E} &= 0 \\ \nabla \times \vec{E} &= -\mu_0 \frac{\partial \vec{H}}{\partial t}\end{aligned}$$

- Plane wave approximation
- Nonlinear polarization



$$\begin{aligned}\nabla \cdot \vec{H} &= 0 \\ \nabla \times \vec{H} &= \epsilon_0 \frac{\partial \vec{E}}{\partial t} + \frac{\partial \vec{P}}{\partial t}\end{aligned}$$

- Slowly-varying amplitude approximation

$$\frac{\partial A}{\partial z} = \frac{ik}{2\epsilon} (\hat{a}_0 \cdot \vec{P}') e^{-i(kz - \omega t)}$$

$$I = \frac{1}{2} c \epsilon_0 n |A|^2$$

Density Matrix \rightarrow Susceptibility \rightarrow Material Response

- Two-level system: $|\psi\rangle = c_1|1\rangle + c_2|2\rangle$
- Density matrix:

$$\left\{ \begin{array}{l} \rho_{11} = c_1 c_1^* = |c_1|^2 \\ \rho_{12} = c_1 c_2^* \\ \rho_{21} = c_2 c_1^* = \rho_{12}^* \\ \rho_{22} = c_2 c_2^* = |c_2|^2 = 1 - \rho_{11} \end{array} \right.$$



Density matrix
equations of motion

- Schrodinger's Equation
- Perturbation Theory
- Decay of states
- Interaction Hamiltonian
- Steady-state approximation

Solution to Nonlinear Coupled Wave Equation

$$A_2^m = \left(\frac{k_2 L}{2\epsilon_m(2\omega_p)} \right) \left(\frac{\mu_{21}^3}{\epsilon_0 V_m \hbar^2 \gamma_{21}^2} \right) (\Lambda_{sd}^m)^4 \Xi_m^{(2)} A_p^2(0) F(\Delta k_2 L)$$

$$A_3^m = \left(\frac{k_3 L}{2\epsilon_m(3\omega_p)} \right) \left(\frac{\mu_{21}^3}{\epsilon_0 V_m \hbar^3 \gamma_{21}^3} \right) (\Lambda_{sd}^m)^6 \Xi_m^{(3)} A_p^3(0) F(\Delta k_3 L)$$

Term: Total coupling constant: enhancement from surface plasmon polariton and dipole-dipole interaction

Solution to Nonlinear Coupled Wave Equation

$$A_2^m = \left(\frac{k_2 L}{2\epsilon_m(2\omega_p)} \right) \left(\frac{\mu_{21}^3}{\epsilon_0 V_m \hbar^2 \gamma_{21}^2} \right) (\Lambda_{sd}^m)^4 \Xi_m^{(2)} A_p^2(0) F(\Delta k_2 L)$$

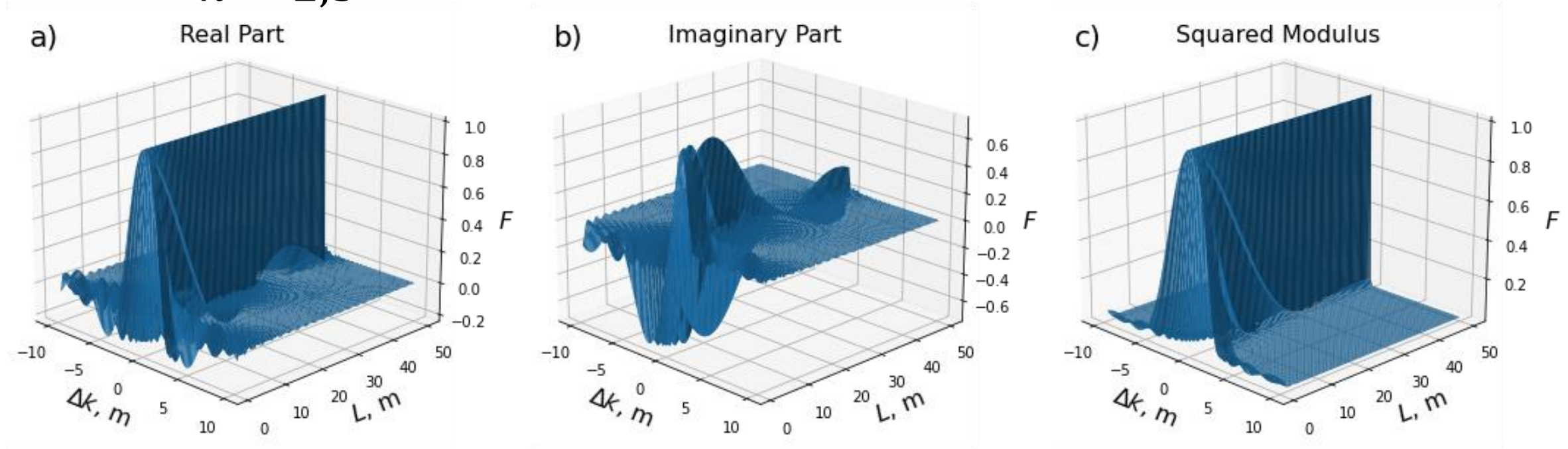
$$A_3^m = \left(\frac{k_3 L}{2\epsilon_m(3\omega_p)} \right) \left(\frac{\mu_{21}^3}{\epsilon_0 V_m \hbar^3 \gamma_{21}^3} \right) (\Lambda_{sd}^m)^6 \Xi_m^{(3)} A_p^3(0) F(\Delta k_3 L)$$

Term: phase factor (contains oscillatory behaviour)

Phase Matching Is Necessary!

$$\Delta k_n = nk_p - k_n$$
$$n = 2, 3$$

Phase Mismatch Factor $F(\Delta kL)$



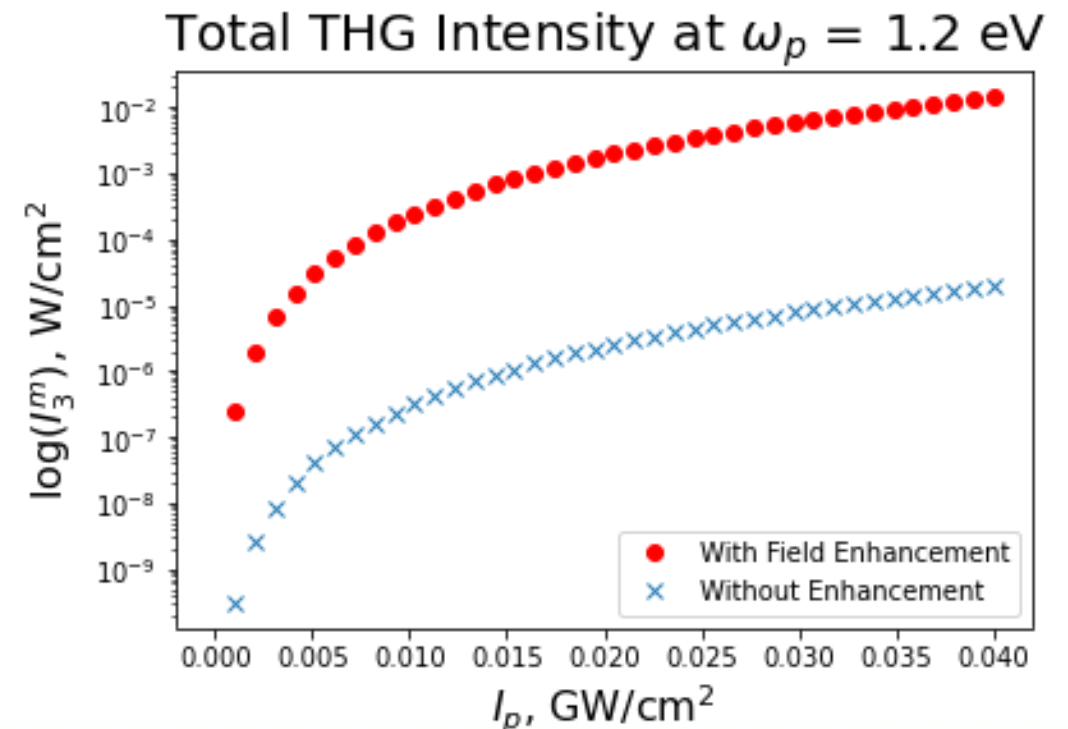
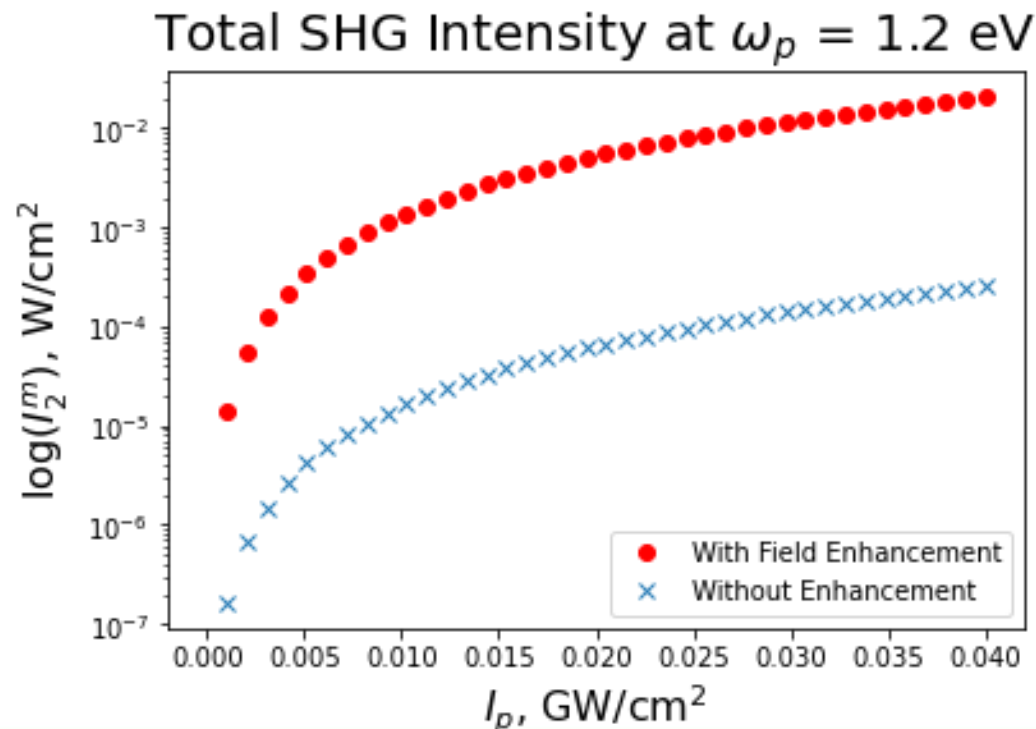
- If $\Delta k \neq 0$, wave **attenuates**
- For $0 \leq \omega_p \leq 10$ eV, $\frac{\Delta k}{k_p} \leq O(10^{-15}) \Rightarrow$ negligible attenuation

SPP and DDI provide up to 10^3 -fold intensity enhancement

$$I_2^m = \alpha_2 (\Lambda_{sd}^m)^8 \left| \Xi_m^{(2)} \right|^2 I_p^2$$

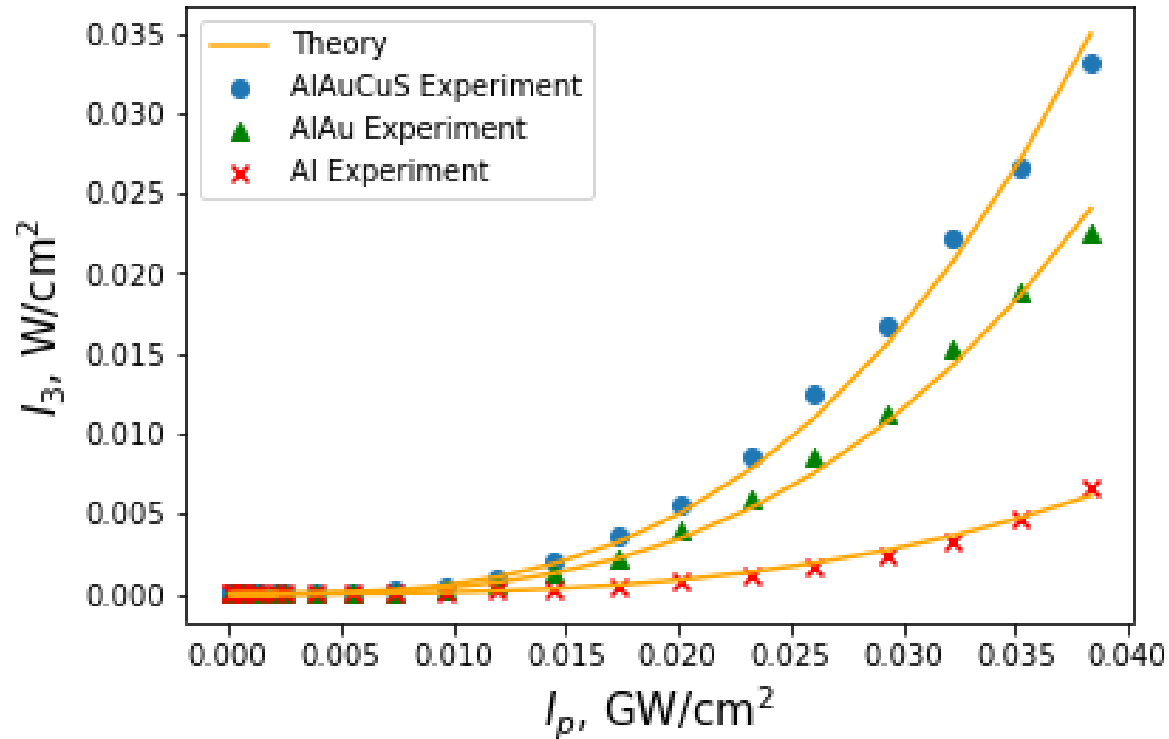
$$I_3^m = \alpha_3 (\Lambda_{sd}^m)^{12} \left| \Xi_m^{(3)} \right|^2 I_p^3$$

No enhancement: $\Lambda_{sd}^m = 1 + \Pi_{spp}^m + \Pi_{ddi}^{tot} \equiv 1$



Experimental Test: Vanderbilt Group

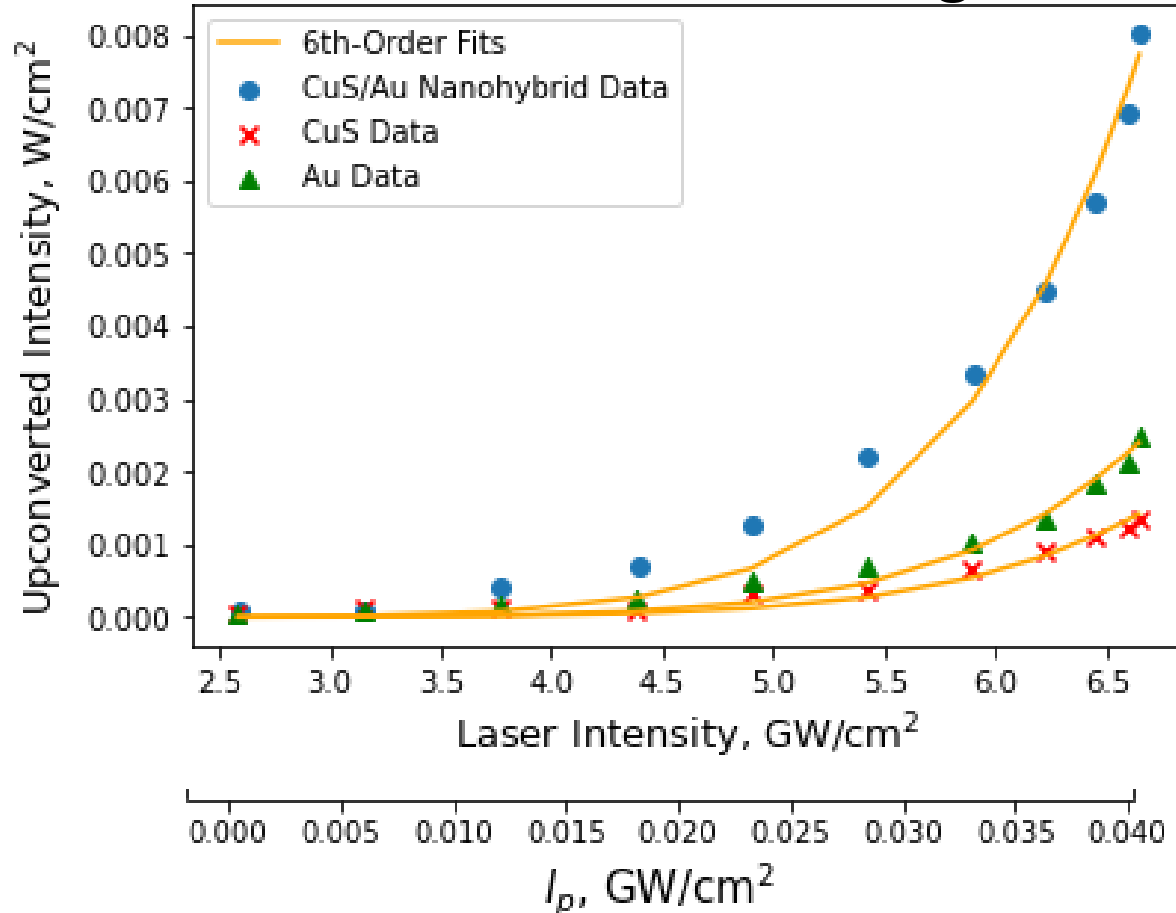
- THG in Al/Au/CuS nanohybrid



- DDI coupling constant as fitting parameter
- Chi-squared test: $p \approx 1$
- Theory and data agree

Experimental Test: Spear et al., 2020

Studied “second harmonic generation” in CuS/Au nanohybrids



Fit is 6th-order!

Our theory would predict a second-order relationship.

Why the discrepancy?

- Very high laser intensities in this experiment
- Higher-order phenomena likely at play

Conclusions

- ✓ Equations derived for intensities of second and third harmonic generation signals from metallic nanohybrids
 - ✓ Quadratic/cubic in incident intensity for SHG/THG
- ✓ Phase-matching is required and met in these experiments
- ✓ SPP and DDI effects allow for strong enhancement of optical signal
- ✓ Use of multi-layer system allows for stronger signals

Thank you! Questions?